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Atty. Docket No. JP920000013US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: N. Tanaka et al.

Group No.:

Filed: April 19, 2001

Examiner: 2661

Serial No.: 09/838,012

#3-

For: DATA PROCESSING SYSTEM AND
MULTIPROCESSOR SYSTEM

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following **attached** correspondence comprising:

Response to File Missing Parts of Application - Filing Date Granted
Copy of Notice to File Missing Parts of Nonprovisional Application
Assignment and Assignment Recordation Sheet
Declaration and Power of Attorney
Self-addressed Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

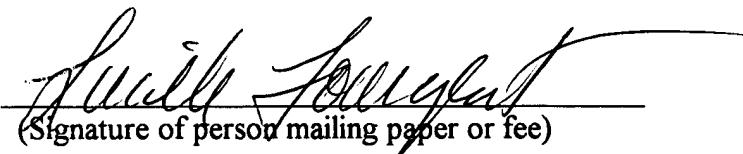
Commissioner for Patents and Trademarks

Washington, D.C. 20231

on August 16, 2001.

Lucille Fourgeot

(Name of person mailing paper or fee)


(Signature of person mailing paper or fee)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: N. Tanaka et al.

Date: August 16, 2001

Serial No.: 09/838,012

Group Art Unit: 2661

Filed: April 19, 2001

Box Missing Parts

For: DATA PROCESSING SYSTEM AND
MULTIPROCESSOR SYSTEM

**NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED**

To the Commissioner of Patents and Trademarks
Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application - filing date granted, mailed June 19, 2001, copy attached, enclosed for filing is a Declaration and Power of Attorney signed by the inventors for the above-identified patent application.

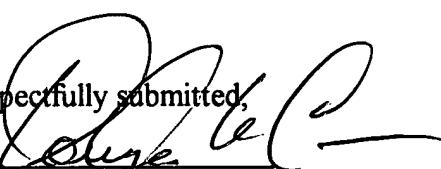
Also attached for filing is an Assignment of the invention to the International Business Machines Corporation for the above-identified patent application.

The commissioner is hereby authorized to charge Deposit Account 09-0469 \$170.00 in payment of the following fees associated with this communication, including any readjustment thereof. A duplicate copy of this sheet is enclosed.

\$130.00 SURCHARGE FEE FOR FILING MISSING PARTS

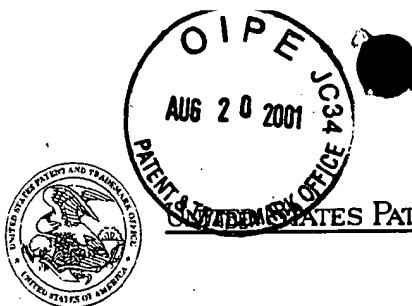
40.00 FEE FOR FILING ASSIGNMENT

\$170.00 TOTAL

Respectfully submitted,
by 
Douglas W. Cameron
Attorney for Applicants
Reg. No. 31,596
Tel. (914) 945-3244

IBM Thomas J. Watson Research Center
P. O. Box 218 - 39-254
Yorktown Heights, N.Y. 10598

JP920000013US1



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,012	04/19/2001	Nobuyoshi Tanaka	JP920000013US1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

CONFIRMATION NO. 3718

FORMALITIES LETTER



OC00000006198109

Date Mailed: 06/19/2001

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

08/22/2001 MBELETE1 00000046 090469 09838012
01 FC:105 130.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE